



Mortgage Broker/Correspondent Recertification Application for App+5

This application is effective for 2019 and must be completed on a yearly basis per our initial contract terms.

Company Name: _____ DBA Name: (if applicable): _____

Name of Parent Company (if applicable): _____

Type of Business: Corporation Partnership Sole Proprietorship LLC

Corporate Office Address: _____

Phone: _____ Email: _____

Tax ID: _____ Date Organized: _____ State Organized: _____

Year End Date: _____

Application Contact Person: _____ Position: _____

Phone: _____ Email: _____

Principle Owners/Corporate Officers

Please complete this section **ONLY IF there have been changes** since signing the original contract with FSB. We do not pull credit, we pull a MARI report and your SSN is require for that sole function.

Name: _____ Position: _____ SSN: _____

Home Address: _____ City, State, Zip: _____

Ownership Interest % _____

Name: _____ Position: _____ SSN: _____

Home Address: _____ City, State, Zip: _____

Ownership Interest % _____



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Flanagan State Bank annually reviews its broker/correspondent lending relationships to ensure compliance with various regulatory and private investor entities. Please complete the following questionnaire and provide the required documentation where necessary.

1. Is your company or any of its principals currently involved in any pending litigation? If so, please attach a detailed response. Yes No
2. In the past year, has your company or any of its principals been sanctioned, reprimanded or suspended by any regulatory body? If so, please attach a detailed response. Yes No
3. Please list any state licenses that have been revoked, suspended or retired in the past year:

4. Has your company or any of its employees been investigated or accused of any incidents involving mortgage fraud? If so, please attach a detailed response. Yes No
5. Has your company been investigated or cited for any incidents involving deceptive advertising? If so, please attach a detailed response. Yes No
6. Do you use a third-party quality control vendor? Yes No
If yes, who?
7. Have any wholesale lenders terminated their relationship with your company for cause?
 Yes No If yes, please attach a brief explanation.
- 8. Please attach a copy of your most recent P&L and Balance sheet along with the previous year's tax return for the business.**
9. Please complete and return the attached "annual attestation"



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Recertification Acknowledgement

I hereby acknowledge and represent, to the best of my knowledge, that the above responses to Flanagan State Bank's Annual Re-certification are true. I hereby acknowledge and represent that, in support of the above affirmations, I have provided Flanagan State Bank with full and complete explanations when so directed. Finally, I hereby acknowledge and represent that I am authorized to complete this form on behalf of my/our company and/or its principals.

Signed: _____ Company: _____

By: _____ Date: _____

Title: _____

Please tell us who is new to your company and needs access to our submission portal!

Please note for Mortgagebot Access: *Processor option* will have access to assigned Loan Officers files, *Loan Officer option* will only see their files and *Manager option* will allow viewing of all files in pipeline. Each Loan Officer or person who will originate must have an NMLS assigned to the financial institution. If the NMLS does not confirm this information, a log in will not be assigned.

Name of employee	Role/Title Email Address	Mortgagebot Access?
		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Processor Only <input type="checkbox"/> Loan Officer Only <input type="checkbox"/> Manager
		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Processor Only <input type="checkbox"/> Loan Officer Only <input type="checkbox"/> Manager
		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Processor Only <input type="checkbox"/> Loan Officer Only <input type="checkbox"/> Manager
		<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Processor Only <input type="checkbox"/> Loan Officer Only <input type="checkbox"/> Manager

If further users are needed, please add an additional page with the information needed.